# ADDITIONAL COMPENSATION GUIDELINES FOR ACADEMIC EMPLOYEES



Creation Date: 12/2019

Policies for additional compensation and summer salary for academic appointees are covered in the following sections of the Academic Personnel Manual (APM): 600, 633, 650, 661, 662, 663, 664, 666, and 667. These policies also outline types of allowable payments and limitations, as well as information on how additional compensation is paid for academic appointees.

#### Guidance on additional compensation for academic appointees

- Faculty with full-time academic year (nine month) appointments may not receive additional compensation from University sources for services directly related to their recognized duties (teaching, research and public service) during the academic year, from the beginning of the Fall Quarter, as established in the University Calendar, through the end of the Spring Quarter.
- Faculty with full-time fiscal year (twelve month) appointments may not receive additional compensation from University sources for services directly related to their recognized duties unless it is earned/paid during the vacation period.
- For academic appointees covered by a bargaining unit contract, eligibility for additional compensation is determined by the terms of the collective bargaining agreement (CBA).
- For academic appointees summer salary guidance please refer to Summer Compensation Guidelines.
- A faculty member who is appointed to assume administrative responsibility in addition to, in partial or in whole replacement of, their faculty responsibilities is considered a Faculty Administrator. A Faculty Administrator shall hold a concurrent University faculty appointment. Please refer to <a href="Faculty Administrative">Faculty Administrative</a> Appointments. If the administrative title is not referenced in the Faculty Administrative Appointments CALL process, please consult with APO.
- Admin Stipend Job Code: 001099 should no longer be used. Generally, additional pay compensation can be paid on the primary job using earn code ADC. Refer to the FAQ's section below.
- Additional compensation may be paid on the academic appointee's primary appointment as a one-time or recurring payment (except for Summer Salary, please reference the <u>Summer Salary Guidelines</u>.
- When in doubt of approving an additional compensation request from the department please consider the following questions and forward this information to APO <u>academicpersonnel@ucr.edu</u> for further review.
  - o Is the activity part of the academic appointee's current job description duties (teaching, research or public service)?
  - o Is the activity short-term or long-term?
  - o Is the activity at the college/campus level and not part of the appointee's assigned curriculum?

#### Policy and sources of additional compensation reference

General additional compensation policy (APM-600-14)

Faculty Administrative Stipend (APM- 633)

Technical Assistance Projects (APM-650)

Summer Session teaching (APM- 661)

Additional Teaching (APM-662)

**University Extension teaching (APM-663)** 

UC Faculty Consultant Services (APM-664)

Honoraria (APM-666)

Extramurally funded research (APM-667)

**Summer Salary Guidelines** 

Faculty Administrative Appointments



Creation Date: 12/2019

### Frequently Asked Questions (FAQ's)

- 1. Can an academic (senate and non-senate) appointee receive a "stipend" (additional compensation payment) without a concurrent administrative appointment?
  - No, an academic appointee cannot earn a "stipend" payment on the academic job. Only Faculty Administrators can be paid an administrative stipend (STP earn code) which is to be paid on the concurrent faculty administrator job.
  - Represented academic appointees may not earn an administrative stipend.
- 2. When is a second position required for additional compensation payment(s)?
  - Summer salary paid with a grant which requires payroll certification
  - MOU requires an additional job code (i.e. 001550 for Summer Session appointments).
  - Refer to the Summer Salary Guidelines

## Examples

- 1. An academic year professor is appointed Department Chair for three years
  - The primary professorial job will remain 100% time, 1.000 FTE
  - A secondary, concurrent job will be added using the appropriate Department Chair job code for a period of three years
  - The monthly administrative stipend will be processed on the secondary job via recurring additional pay using the earn code STP
- 2. An academic year professor at UCB is appointed Director at UCR for one year
  - The professorial job at UCB will be placed at 50% time, 0.500 FTE, for one year
  - A secondary, concurrent job will be added at UCR using the appropriate Director job code at 50% time, 0.500 FTE, for a period of one year
  - The monthly administrative stipend will be processed on the secondary job via recurring additional pay using the earn code STP



Creation Date: 12/2019

- 3. An academic year professor is appointed to serve as an Honors Faculty member for a period of one academic year. Additional duties include commitment to the University Honors program for our campus by participating in teaching honor students' specific workshops, attending to meeting and holding field trips.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - The primary professorial job will remain 100% time, 1.000 FTE
  - The professor may receive monthly additional compensation (ADC earn code) and processed as recurring payments on the primary professorial job
- 4. A continuing educator (non-senate, non-represented) is assuming additional responsibilities. These responsibilities include collaborating with directors in the development and evaluation of new strategic initiatives identifying potential funding sources for a period of a year. The strategic initiative primary goal is to ensure there is an increase to UNEX enrollment. The Associate Dean would like to pay the continuing educator a recurring stipend for a period of a year.
  - The continuing educator may not earn a "stipend" or administrative stipend payment.
  - The additional responsibilities are in addition to the continuing educator's job and are substantial in assisting with the UNEX goal to increase enrollment.
  - The continuing educator job will remain 100% time, 1.000 FTE.
  - The appointee may receive monthly additional compensation (ADC earn code) and processed as a recurring payment on the continuing educator's primary job, for one year.
- 5. An academic year professor is an active member of the "Academy of Distinguished Teachers" and has been recognized and awarded by the Vice Provost and Dean for Undergraduate Education with a one-time \$1,500 stipend payment as a recognized honor.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - The recognized award is due to the professor's contributions to this notable membership to the "Academy of Distinguished Teachers," which is an additional activity and not necessarily expected as part of their primary teaching responsibilities.
  - The appointee may receive monthly additional compensation (ADC earn code) and processed as a one-time payment on the primary professorial job.
- 6. The college is encouraging professors to create new syllabi for future courses they may want to teach and are being offered a stipend payment for participation.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - Syllabi creation for potential future instruction is still related to the faculty member's assigned normal duties and not considered an additional substantial project for the college/university.



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- The college should not be providing additional compensation as an incentive to create syllabi when it's the faculty member's normal assigned responsibility.
- 7. Teaching credential supervisors are supervising students under an NSF grant program and are asked to prepare paperwork and setup meetings to supervise these students. The college would like to recognize their efforts by compensating them with a stipend payment or honorarium.
  - The teaching credential supervisor would not earn a "stipend" or administrative stipend.
  - Preparing paperwork and setting up meetings to supervise students is part of the normal assigned responsibilities in order to continue this sponsored NSF grant program.
  - An Honorarium payment would not be applicable.
  - An Honorarium is payment due to a faculty member appointee for occasional lectures and public appearance beyond normal academic responsibilities to the university (i.e. Seminars, university-sponsored conferences, panels, etc.) Such service (though possibly related to normal responsibilities) falls outside the appointee's normal academic responsibilities due to the nature of the work or where it is performed (e.g., delivering an occasional lecture at a campus other than the home campus).
- 8. A UCR professor is a guest speaker at a UCLA conference for one day and will be compensated with an honorarium.
  - The one-day appearance at a conference at UCLA is beyond the professor's normal responsibilities and would earn a one-time honorarium payment (HON-earn code) processed as a one-time payment.
  - Multi-location Agreement (MLA) must be completed by both the "Home" and the "Host" locations before processing in UCPath
  - UCLA (Host) would create a new position and add position funding to the new position using UCLA's FAU (Host) in UCPath. UCLA would
    then process the one-time payment (HON-earn code) in UCPath

**Additional Compensation Matrix** 

No	Compensation Type	Policy	Applicable Title Codes	Earn Codes	Additional Compensation Matrix  Type of Work	Examples	Restrictions
				ADC-Additional Comp General ACN-Addtional Comp-Gen No			a.Must not be directly related to their recognized University
		APM 600-14 Additional		RTMT		1.Professional consultant	duties
1	Additional Compensation	Compensation	Faculty and Academic appointees (Senate & Non-Senate)	AAP-Academic Award Program		2.Honors Faculty Member for the University Honors Program	b. Shall not interfere with recognized University duties
			Academic appointees (Senate & Non-Senate)				
			Refer to the Admin academic appointee job codes 001000 Dean,001010 Associate Dean,001020 Assistant Dean,001060 College Provost,001068 Vice Provost,001069				
			Associate Vice Provost, Vice Chancellor, 000803 Associate Vice Chancellor, 001096 Department Chair, 001094 Department				
		ADM (00 A L L L L L	Vice Chair, 000900 Director,000910 Associate Director,0800 Academic Assistant to the Vice Chancellor, 0801 Academic	CTD CIL. LALL LA L.	Faculty Adminstrative duties in addtion to (or to replace) their faculty responsibilities. A Faculty	1. An AY professor holds a 0% appointment while serving as Dean of a College/School	
2	Adminstrative Stipend	APM 633 Administrative Stipends	Assistant to the Chancellor, 001044 Faculty Assistant to the Chancellor, 1045 Faculty Asst to Vice Chancellor, 1055 Faculty Asst to Provost/ Dean, Librarian-Manager/Supervisor, Interim or Acting appointees in the titles listed above.	STP-Stipend-Admin-Academic	Adminstrator shall hold a concurrent faculty appointment (This would not be the case for a Librarian).	2. An AY professor has a 100% FTE professorial appointment and a secondary 0% FTE concurrent appointment for a Department Chair position for a period of three years with a Adminstrative Stipend annual amount of \$30,000.00.	a bargaining unit contract.
	riammentative etiperia	Stiportae	Similar to Admin stipend APM 633.			position to a portion of three years with a riaministrative experts armital amount of querion.	a sargammy and someon
			Faculty and Academic appointees (Senate & Non-Senate)			An academic year professor has a 50% FTE professorial appointment at another campus with a secondary concurrent 50% FTE	
		APM 650 Technical Assistance		STP-Stipend-Admin-Academic		appointment at UCR for a Director of UC Mexus position for a period of one year with an Adminstrative Stipend annual amount of	Does not apply to non-senate academic appointees covered by
3	Adminstrative Stipend	<u>Projects</u>			Additional substantial administrative responsibilities for a project.	\$35,000.	a bargaining unit contract.
			Academic appointees (Senate & Non-Senate)				a. Compensation for academic-year appointees may not exceed
			a. Academic-year appointees;				three-ninths during the summer period.  b. Compensation for fiscal-year appointees may not exceed one-
			b. Appointees holding split appointments partly on an academic-year basis and partly on a fiscal-year basis, provided the				twelfth of the annual salary per month of teaching. This is
			fiscal-year portion of the appointment is less than half-time during the Summer Session period;				effective for appointments made July 1, 2014 or later. Those appointed prior to July 1, 2014 to the Professor,
			c. Full-time fiscal-year faculty appointees who are granted a temporary reduction in their percentage of appointment or those who relinquish outside professional activity days or vacation days equal to one day for every six contact or podium				Astronomer or Agronomist series are eligible for payments up to
			hours with students;	A O C A del'the set O come Commence			one-eleventh of the annual salary of a fiscal-year appointee.
			d. Part-time fiscal-year faculty who are granted a temporary increase in their percentage of appointment. Fiscal-year faculty appointed less than 50 percent in a Health Sciences Compensation Plan school cannot increase the percentage of	ACS-Additional Comp-Summer DCP			c. These additional compensation maximums are cumulative of all concurrent sources of additional University compensation.
		APM 661 Additional	appointment to more than 50 percent;1	ASN-Additional Comp-Summer No			d. Full-time Health Sciences Compensation Plan faculty are not
	Summer Salary	Compensation: Summer Session Teaching	e. Full-time fiscal-year non-faculty appointees who are granted the use of vacation days or a temporary percentage reduction in their current appointment.	DCP	Academic appointees may receive additional compensation for Summer Session teaching.	Refer to the Summer Salary Guidelines	eligible to receive additional compensation for Summer Session teaching.
4	Sammor Salary	SSSSION TOUGHING	повысной и и и и и и и и и и и и и и и и и и и			The state of the original of t	todo, mig.
			Faculty and Academic appointees (Senate & Non-Senate)				
			Full-time faculty members may receive additional compensation after obtaining pre-approval from the faculty member's department chair for specific additional University of California teaching activities as outlined below. For Additional				
			Compensation: Summer Session, see APM - 661 and for Additional Compensation: University Extension (UNEX), see APM				
			- 663.				
			Full-time Faculty Appointees:				
			Professor series Professor series				Teaching activities ineligible for additional compensation are:
			Acting titles in the Professor series (Students who hold the Acting Instructor title are not considered faculty.)  Visiting titles in the Professor series Professor in Residence series Adjunct Professor series		Additional Teaching Eligible for Additional Compensation		a. Any course assigned by the department chair as part of the faculty member's assigned teaching load, including:
			Professor of Clinical (e.g., Medicine) series Health Sciences Clinical Professor series		Two kinds of teaching are eligible for additional compensation, when beyond the assigned		(1) A course in a self-supporting degree program (funds from
			Clinical Professor of Dentistry (50 percent or more time) series Supervisor of Physical Education series  Supervisor of Teacher Education Lecturer		teaching load:  a. Teaching of matriculated students in self supporting University degree or UNEX courses and		the self-supporting degree program are used to pay for this
			Senior Lecturer		a. Teaching of matriculated students in self-supporting University degree or UNEX courses and programs (see APM - 663 for UNEX).		portion of the faculty member's assigned teaching load); (2) Extra teaching duties assigned in place of research and/or
			Lecturer with Potential for Security of Employment		b. Teaching of non-matriculated students, including those in UNEX courses and programs (see		service; or
			Lecturer with Security of Employment Senior Lecturer with Security of Employment		APM - 663) and other continuing education courses and programs run by the University.		(3) Courses taught in less common modes or locations (e.g., online, off-site, at another campus).
		APM 662 Additional	Acting titles in the Lecturer with Security of Employment Series		Additional Teaching During Summer Period (other than in Summer Session)		b. Extra courses that are taken on voluntarily are ineligible for
		Compensation: Additional Teaching	· ·		Faculty receiving summer compensation may engage in additional teaching up to the APM - 025 limit of one day per week inclusive of all Category I and II outside professional activities		additional compensation.
5	Additional Compensation	reaching		UCPC Earn Code listing)	performed.		
						Fligibility	
						a. UNEX appointees whose primary appointment is in UNEX as Continuing Educators and Academic Coordinators may earn additional	
						compensation for UNEX teaching.	
						b. Faculty appointed in titles as listed in APM - 110-4(15) may earn additional compensation for UNEX teaching. c. Full-time Lecturers, Associates and Acting Instructors are eligible to teach one course in UNEX during the academic year.	
						d. To ensure that the University employment of one enrolled as a student does not interfere with his/her work as a student and his/her	
						timely progress toward a degree, the appointments of Teaching Assistants, Teaching Fellows and Graduate Student Researchers are limited to half-time inclusive of all appointments including UNEX during the academic year.	
					teaching load:	e. During the summer, Teaching Assistants, Teaching Fellows, Associate and Acting Instructors and Graduate Student Researchers may	
					a. Teaching of matriculated students in self-supporting University degree or UNEX courses and programs (see APM - 663 for UNEX).	teach for UNEX, provided that this work does not interfere with the performance of duties in the graduate student academic title.  f. Medical Residents may be employed in Medical Extension programs as authorized by the Chancellor with the understanding that (1)	
						the authorization may not be extended to Interns, (2) each Resident may work on only one course per term and (3) all Resident	
						appointments to Medical Extension must have the prior approval of the Dean of the School of Medicine and Dean of UNEX.	A LINEY appointed may not receive additional compensation for
			Faculty and Academic appointees (Senate & Non-Senate)			g. Other academic appointees may earn additional compensation for UNEX teaching provided the work is performed exclusively outside the appointees' regular work hours or if they have received prior approval from their supervisor.	teaching that is part of the individual's regular duties. If teaching
		APM 663 Additinal		ACX-Additional Compensation-	Faculty receiving summer compensation may engage in additional teaching up to the APM - 025	h. Faculty participating in the Health Sciences Compensation Plan are subject to the Plan, APM - 670, APM – 671, and local campus	assignments are a customary part of the individual's duties, that
6	Additional Compensation	Compensation: University  Extension	Academic appointees, including University Extension (UNEX) appointees, may receive additional compensation for UNEX teaching in accordance with this policy.	Extensions UNX-University Extensions	limit of one day per week inclusive of all Category I and II outside professional activities	Implementing Procedures regarding income from additional University teaching.  i. Prior approval from the home campus/department is required when employing faculty from other UC campuses.	teaching load is considered as part of the appointee's regular
6				Z OTHIVOIDICY EXTORISIONS			) S & .
			Faculty Professor series				
			Acting titles in the Professor series (Students who hold the Acting Instructor title are not considered faculty.)				
			Visiting titles in the Professor series Professor in Residence series Adjunct Professor series				
			Professor of Clinical (e.g., Medicine) series Health Sciences Clinical Professor series  Clinical Professor of Dentistry (50 percent or more time) series Supervisor of Physical Education series				
			Supervisor of Teacher Education Lecturer		Faculty (as defined in APM - 110-4-15) may receive additional compensation for occasional		Faculty consultants are paid at a negotiated rate approved by
			Senior Lecturer Lecturer with Potential for Security of Employment		consultant services on projects conducted under the auspices of the University, provided that the faculty member is not regularly engaged on the project and the contract or grant for the project		the Chancellor, Lawrence Berkeley National Laboratory Director, or equivalent official at the UC location where the
			Lecturer with Security of Employment		financed by external funds does not prohibit such compensation. Such service on projects		consulting is done. The maximum amount per day which is
		APM 664 Additional Compensation: Services as	Senior Lecturer with Security of Employment Acting titles in the Lecturer with Security of Employment Series		conducted under the auspices of the University is not consulting as defined under APM - 025 or APM - 671; time spent as a faculty consultant does not count toward APM - 025 or APM - 671		permitted is the daily rate plus an additional 30 percent which may be paid in consideration of the fact that there are no
7	Additional Compensation	Faculty Consultant			time limits. (See also APM - 380 and APM - 600-14-a.)		benefits provided for such services.
							Academic appointees should not receive honoraria for activity
					An honorarium is payment (generally not required) by the University to an academic appointee for	Types of Honoraria	relating to departmental personnel actions or ad hoc committees, service on thesis committees or service on campus
					occasional lectures and similar public appearances beyond normal academic responsibilities to	a. Seminars, Lectures or Campus-Sponsored Program Reviews	or systemwide committees.
						Academic appointees may receive honoraria for seminars, lectures or UC-sponsored program reviews when these activities occur on any campus or location of the University, other than the campus or location at which the appointee normally serves.	a. Total annual honoraria under this policy may not exceed 10 percent of the appointee's annual base salary.
					performed (e.g., delivering an occasional lecture at a campus other than the home campus). More	b. University-Sponsored Conferences, Panels and Concerts/Creative Works	b.Compensation may not be made from State funds, but is
		ADM 444 Additional			than occasional teaching at a campus other than the home campus is covered by a multi-location	Academic appointees may receive honoraria for concerts or other creative work or for University-sponsored conferences and panels	permitted from gifts, endowments, contracts and grants with
8	Honoriums	<u>APM 666 Additional</u> <u>Compensation: Honoraria</u>	Academic appointees (Senate & Non Senate)	HON-Honorarium	раугееттель. пологана may be paid only under the conditions described in Section 666-8 below.	when these activities occur on any campus or location of the University, including the campus or location at which the appointee normally serves.	specifically budgeted provisions for such honoraria, Chancellor's discretionary funds or similar sources.
3		22.010					
							Agency (e.g., NIH, NSF) maximum salary caps must be
				AOD (A) A			observed and State funds may not be used to pay for any gap
		APM 667 Additional		ACR (AY)-Additional Compensation Research	An academic appointee may receive additional compensation for services rendered in connection		in compensation between agency maximum salary caps and the total compensation received, other than the Health Sciences
		Compensation: Extramurally		AFR (FY)-Addtional Compensation	with extramurally funded research projects undertaken by the University, unless the terms of the		Compensation Plan Scale 0 that may result from such maximum
9	Additional Compensation	Funded Research	Academic appointees (Senate & Non Senate)	FY Research	appointee's University appointment prohibits acceptance of additional compensation.	Refer to the Summer Salary Guidelines	amounts.