# RIVERSIDE Academic Personnel Office

# UCR ADDITIONAL COMPENSATION GUIDELINES FOR ACADEMIC APPOINTEES

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Policies for additional compensation and summer salary for academic appointees are covered in the following sections of the Academic Personnel Manual (APM): 600, 633, 650, 661, 662, 663, 664, 666, and 667. These policies also outline types of allowable payments and limitations, as well as information on how additional compensation is paid for academic appointees.

#### I. Guidance on additional compensation for academic appointees

- A. Faculty with full-time academic year (nine month) appointments may not receive additional compensation from University sources for services directly related to their recognized duties (teaching, research and public service) during the academic year, from the beginning of the Fall Quarter, as established in the <a href="University Academic Calendar">University Academic Calendar</a>, through the end of the Spring Quarter.
- B. Faculty with full-time fiscal year (twelve month) appointments may not receive additional compensation from University sources for services directly related to their recognized duties unless it is earned/paid during the vacation period.
- C. Effective May 2, 2014, approval for additional compensation for fiscal year appointees has been delegated to the Deans. With prior written approval from the Dean, additional compensation may be paid for work performed in lieu of vacation (<u>APM 600-14c</u>). An appropriate number of accrued vacation days must be deducted from the appointee's vacation accrual.
- D. For academic appointees covered by a bargaining unit contract, eligibility for additional compensation is determined by the terms of the collective bargaining agreement (CBA).
- E. For academic appointee's summer salary guidance please refer to Summer Compensation Guidelines.
- F. A faculty member who is appointed to assume administrative responsibility in addition to, in partial or in whole replacement of, their faculty responsibilities is considered a Faculty Administrator. A Faculty Administrator shall hold a concurrent University faculty appointment. Please refer to <a href="Faculty Administrative">Faculty Administrative</a> Appointments. If the administrative title is not referenced in the Faculty Administrative Appointments CALL process, please consult with APO.
- G. Admin Stipend Job Code: 001099 should *no longer be used*. Generally, additional pay compensation can be paid on the primary job using **earn code ACN**. Refer to the FAQ's section below.
- H. Additional compensation may be paid on the academic appointee's primary appointment as a one-time or recurring payment. For Summer Salary, please reference the <u>Summer Compensation Guidelines</u>.
- I. Additional compensation for academic appointees who performed service from another campus, please reference Inter-Location One Time Pay and Multi-Location Appointment Guidelines
- J. When in doubt of approving an additional compensation request from the department please consider the following questions and forward this information to APO academicpersonnel@ucr.edu for further review.
  - o Is the activity part of the academic appointee's current job description duties (teaching, research or public service)?
  - o Is the activity short-term or long-term?
  - o Is the activity at the college/campus level and not part of the appointee's assigned curriculum?

#### II. Frequently Asked Questions (FAQ's)



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- A. May an academic appointee (senate and non-senate) receive a "stipend" (additional compensation payment) without a concurrent administrative appointment?
  - No, an academic appointee cannot earn a "stipend" payment on the academic job.
  - Only Faculty Administrators can be paid an administrative stipend (STP earn code) which is to be paid on the concurrent faculty administrator job.
  - Represented academic appointees may not earn an administrative stipend.
- B. When is a second position required for additional compensation payment(s)?
  - Summer salary paid with a grant which requires payroll certification
  - MOU requires an additional job code (i.e. 001550 for Summer Session appointments).
  - Refer to the Summer Salary Guidelines

#### III. Examples

- A. An academic year professor is appointed Department Chair for three years
  - The primary professorial job will remain 100% time, 1.000 FTE
  - A secondary, concurrent job will be added using the appropriate Department Chair job code for a period of three years
  - The monthly administrative stipend will be processed on the secondary job via recurring additional pay using the earn code STP
- B. An academic year professor at UCB is appointed Director at UCR for one year
  - The professorial job at UCB will be placed at 50% time, 0.500 FTE, for one year
  - Multi-location Agreement (MLA) must be completed by both the "Home" and the "Host" locations before processing in UCPath
  - A secondary, concurrent job will be added at UCR using the appropriate Director job code at 50% time, 0.500 FTE, for a period of one year
  - The monthly administrative stipend will be processed on the secondary job via recurring additional pay using the earn code STP
- C. An academic year professor is appointed to serve as an Honors Faculty member for a period of one academic year. Additional duties include commitment to the University Honors program for our campus by participating in teaching honor students' specific workshops, attending to meeting and holding field trips.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - The primary professorial job will remain 100% time, 1.000 FTE
  - The professor may receive monthly additional compensation (ACN earn code) and processed as recurring payments on the primary professorial job
- D. A continuing educator (non-senate, non-represented) is assuming additional responsibilities. These responsibilities include collaborating with directors in the development and evaluation of new strategic initiatives identifying potential funding sources for a period of a year. The strategic initiative primary goal is to ensure there is an increase to UNEX enrollment. The Associate Dean would like to pay the continuing educator a recurring stipend for a period of a year.
  - The continuing educator may not earn a "stipend" or administrative stipend payment.
  - The additional responsibilities are in addition to the continuing educator's job and are substantial in assisting with the UNEX goal to increase enrollment.



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- The continuing educator job will remain 100% time, 1.000 FTE.
- The appointee may receive monthly additional compensation (ACN earn code) and processed as a recurring payment on the continuing educator's primary job, for one year.
- E. An academic year professor is an active member of the "Academy of Distinguished Teachers" and has been recognized and awarded by the Vice Provost and Dean for Undergraduate Education with a one-time \$1,500 stipend payment as a recognized honor.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - The recognized award is due to the professor's contributions to this notable membership to the "Academy of Distinguished Teachers," which is an additional activity and not necessarily expected as part of their primary teaching responsibilities.
  - The appointee may receive monthly additional compensation (ACN earn code) and processed as a one-time payment on the primary professorial job.
- F. The college is encouraging professors to create new syllabi for future courses they may want to teach and are being offered a stipend payment for participation.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - Syllabi creation for potential future instruction is still related to the faculty member's assigned normal duties and not considered an additional substantial project for the college/university.
  - The college should not be providing additional compensation as an incentive to create syllabi when it's the faculty member's normal assigned responsibility.
- G. Teaching credential supervisors are supervising students under an NSF grant program and are asked to prepare paperwork and setup meetings to supervise these students. The college would like to recognize their efforts by compensating them with a stipend payment or honorarium.
  - The teaching credential supervisor would not earn a "stipend" or administrative stipend.
  - Preparing paperwork and setting up meetings to supervise students is part of the normal assigned responsibilities in order to continue this sponsored NSF grant program.
  - An Honorarium payment would not be applicable.
  - An Honorarium is payment due to a faculty member appointee for occasional lectures and public appearance beyond normal academic responsibilities to the university (i.e. Seminars, university-sponsored conferences, panels, etc.) Such service (though possibly related to normal responsibilities) falls outside the appointee's normal academic responsibilities due to the nature of the work or where it is performed (e.g., delivering an occasional lecture at a campus other than the home campus).
- H. A UCR professor is a guest speaker at a UCLA conference for one day and will be compensated with an honorarium.
  - The one-day appearance at a conference at UCLA is beyond the professor's normal responsibilities and would earn a one-time honorarium payment (HON-earn code) processed as a one-time payment.
  - Multi-location Agreement (MLA) must be completed by both the "Home" and the "Host" locations before processing in UCPath
  - UCLA (Host) would create a new position and add position funding to the new position using UCLA's FAU (Host) in UCPath. UCLA would then process the one-time payment (HON-earn code) in UCPath



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- I. An academic year professor is teaching in overload during the academic year as part of the Low Residence MFA which is self-supporting program and will be compensated with additional compensation.
  - The primary professorial job will remain 100% time, 1.000 FTE
  - The professor may receive monthly additional compensation (ACN earn code) and processed as recurring payments on the primary professorial job
- J. An academic appointee is awarded a stipend for the Remote Course Conversion (RCC)program. The RCC program is improving the academic appointee's remote courses to be online enhanced through certification training. The enhanced online courses will be made available to the UC system cross-campus enrollment. The academic appointee will receive a stipend for this grant program funded by UCOP ILTI funds.
  - The professor may not earn a "stipend" or administrative stipend payment.
  - The academic appointee may receive monthly additional compensation (ACN earn code) and processed as a one-time payment on the primary professorial job.
  - Fiscal year appointee's receiving additional compensation have to forfeit vacation hours and may only receive up to 1/11th/ 1/12th payment of their annual salary.

#### IV. Policy and sources of additional compensation reference/Addl Comp Matrix

- General additional compensation policy (APM-600-14)
- Faculty Administrative Stipend (APM- 633)
- Technical Assistance Projects (APM-650)
- Summer Session teaching (APM- 661)
- Additional Teaching (APM-662)
- University Extension teaching (APM-663)
- UC Faculty Consultant Services (APM-664)
- Honoraria (APM-666)
- Extramurally funded research (APM-667)
- Summer Compensation Guidelines
- Faculty Administrative Appointments
- Inter-Location One Time Pay and Multi-Location Appointment Guidelines

No. Compensation Type	Policy	Applicable Title Codes	Earn Codes	Type of Work	Examples	Restrictions
			ADC-Additional Comp General ACN-Addtional Comp-Gen No			a. Must not be directly related to their recognized University duties
Additional Compensation	APM 600-14 Additional Compensation	Faculty and Academic appointees (Senate & Non-Senate)	RTMT  AAP-Academic Award Program		Professional consultant	b. Shall not interfere with recognized University duties
Additional Compensation	Compensation	Taculty and Academic appointees (Senate & Non-Senate)	AAI -Academic Award i Togram		T Totessional consultant	duties
		Academic appointees (Senate & Non-Senate)				
		Refer to the Admin academic appointee job codes 001000 Dean,001010 Associate Dean,001020 Assistant Dean,001060 College Provost,001068 Vice Provost,001069		Faculty Adminstrative duties in addtion to (or to		
		Associate Vice Provost, Vice Chancellor, 000803 Associate Vice Chancellor, 001096 Department Chair, 001094 Departmen Vice Chair, 000900 Director, 000910 Associate Director, 0800 Academic Assistant to the Vice Chancellor, 0801 Academic	t	replace) their faculty responsibilities. A Faculty Adminstrator shall hold a concurrent faculty	1. An AY professor holds a 0% appointment while serving as Dean of a College/School	
2 Adminstrative Stipend	APM 633 Administrative Stipends	Assistant to the Chancellor, 001044 Faculty Assistant to the Chancellor, 1045 Faculty Asst to Vice Chancellor, 1055 Faculty	STP-Stipend-Admin-Academic	appointment (This would not be the case for a	2. An AY professor has a 100% FTE professorial appointment and a secondary 0% FTE concurrent appointment for a Department Chair position for a period of three years with a Adminstrative Stipend annual amount of \$30,000.00.	Does not apply to non-senate academic
2 Auminstrative Superiu	Superius	Asst to Provost/ Dean, Librarian-Manager/Supervisor,Interim or Acting appointees in the titles listed above.  Similar to Admin stipend APM 633.		Librarian).	Department Chair position for a period of three years with a Administrative Superio annual amount of \$50,000.00.	appointees covered by a bargaining unit contract.
		Faculty and Academic appointees (Senate & Non-Senate)			An academic year professor has a 50% FTE professorial appointment at another campus with a secondary concurrent 50°	
3 Adminstrative Stipend	APM 650 Technical Assistance Projects		STP-Stipend-Admin-Academic	Additional substantial administrative responsibilities for a project.	FTE appointment at UCR for a Director of UC Mexus position for a period of one year with an Adminstrative Stipend annual amount of \$35,000.	Does not apply to non-senate academic appointees covered by a bargaining unit contract.
		Academic appointees (Senate & Non-Senate)				
		a. Academic-year appointees;				<ul><li>a. Compensation for academic-year appointees may not exceed three-ninths during the summer period.</li><li>b. Compensation for fiscal-year appointees may not exceed</li></ul>
		b. Appointees holding split appointments partly on an academic-year basis and partly on a fiscal-year basis, provided the fiscal-year portion of the appointment is less than half-time during the Summer Session period;				one-twelfth of the annual salary per month of teaching. This is effective for appointments made July 1, 2014 or later. Those
		c. Full-time fiscal-year faculty appointees who are granted a temporary reduction in their percentage of appointment or those who relinquish outside professional activity days or vacation days equal to one day for every six contact or podium hours	se			appointed prior to July 1, 2014 to the Professor, Astronomer or Agronomist series are eligible for payments up
		with students; d. Part-time fiscal-year faculty who are granted a temporary increase in their percentage of appointment. Fiscal-year faculty	ACS-Additional Comp-Summer			to one-eleventh of the annual salary of a fiscal-year appointed c. These additional compensation maximums are cumulative
	APM 661 Additional	appointed less than 50 percent in a Health Sciences Compensation Plan school cannot increase the percentage of appointment to more than 50 percent;1	DCP ASN-Additional Comp-Summer No			of all concurrent sources of additional University compensation. d. Full-time Health Sciences Compensation Plan faculty are
4 Summer Salary	Compensation: Summer Session Teaching	e. Full-time fiscal-year non-faculty appointees who are granted the use of vacation days or a temporary percentage reduction in their current appointment.	DCP	Academic appointees may receive additional	Refer to the Summer Salary Guidelines	not eligible to receive additional compensation for Summer Session teaching.
		Full-time faculty members may receive additional compensation after obtaining pre-approval from the faculty member's				
		department chair for specific additional University of California teaching activities as outlined below. For Additional Compensation: Summer Session, see APM - 661 and for Additional Compensation: University Extension (UNEX), see APM - 662	Λ-	Additional Teaching Eligible for Additional Compensation Two kinds of teaching are oligible for additional		Togehing activities inclinible for a delitional
		Full time Fourthy Appraists as		Two kinds of teaching are eligible for additional compensation, when beyond the assigned		Teaching activities ineligible for additional compensation are:
		Full-time Faculty Appointees:  Professor series		teaching load:  a. Teaching of matriculated students in self-		a. Any course assigned by the department chair as part of the faculty member's assigned teaching
		Acting titles in the Professor series (Students who hold the Acting Instructor title are not considered faculty.) Visiting titles in the Professor series Professor in Residence series Adjunct Professor series		supporting University degree or UNEX courses and programs (see APM - 663 for UNEX).		load, including: (1) A course in a self-supporting degree program
		Professor of Clinical (e.g., Medicine) series Health Sciences Clinical Professor series Clinical Professor of Dentistry (50 percent or more time) series Supervisor of Physical Education series		b. Teaching of non-matriculated students, including those in UNEX courses and programs		(funds from the self-supporting degree program are used to pay for this portion of the faculty
		Supervisor of Teacher Education Lecturer Senior Lecturer		(see APM - 663) and other continuing education courses and programs run by the University.		member's assigned teaching load); (2) Extra teaching duties assigned in place of
		Lecturer with Potential for Security of Employment Lecturer with Security of Employment		Additional Teaching During Summer Period (other		research and/or service; or (3) Courses taught in less common modes or
	APM 662 Additional	Senior Lecturer with Security of Employment Acting titles in the Lecturer with Security of Employment Series		than in Summer Session) Faculty receiving summer compensation may		locations (e.g., online, off-site, at another campus) b. Extra courses that are taken on voluntarily are
	Compensation: Additional Teaching	Lecturer in Summer Session Coordinator of Field Work Field Work Supervisor Field Work Consultant	ACT-Additional Comp-Teaching (not in Addl Pay listing but on	engage in additional teaching up to the APM - 025 limit of one day per week inclusive of all Category I		ineligible for additional compensation.
5 Additional Compensation	1 odoming		UCPC Earn Code listing)	and II outside professional activities performed.		
					Eligibility	
				Additional Teaching Eligible for Additional Compensation	a. UNEX appointees whose primary appointment is in UNEX as Continuing Educators and Academic Coordinators may earn additional compensation for UNEX teaching.	
				Two kinds of teaching are eligible for additional compensation, when beyond the assigned	b. Faculty appointed in titles as listed in APM - 110-4(15) may earn additional compensation for UNEX teaching. c. Full-time Lecturers, Associates and Acting Instructors are eligible to teach one course in UNEX during the academic years.	r.
				teaching load:  a. Teaching of matriculated students in self-	d. To ensure that the University employment of one enrolled as a student does not interfere with his/her work as a student and his/her timely progress toward a degree, the appointments of Teaching Assistants, Teaching Fellows and Graduate	
				supporting University degree or UNEX courses and programs (see APM - 663 for UNEX).	Student Researchers are limited to half-time inclusive of all appointments including UNEX during the academic year.  e. During the summer, Teaching Assistants, Teaching Fellows, Associate and Acting Instructors and Graduate Student	
				b. Teaching of non-matriculated students, including those in UNEX courses and programs	Researchers may teach for UNEX, provided that this work does not interfere with the performance of duties in the graduate student academic title.	
				(see APM - 663) and other continuing education courses and programs run by the University.	f. Medical Residents may be employed in Medical Extension programs as authorized by the Chancellor with the understanding that (1) the authorization may not be extended to Interns, (2) each Resident may work on only one course	
				Additional Teaching During Summer Period (other	per term and (3) all Resident appointments to Medical Extension must have the prior approval of the Dean of the School of	A UNEX appointee may not receive additional
		Faculty and Academic appointees (Senate & Non-Senate)		than in Summer Session)  Faculty receiving summer compensation may	g. Other academic appointees may earn additional compensation for UNEX teaching provided the work is performed exclusively outside the appointees' regular work hours or if they have received prior approval from their supervisor.	compensation for teaching that is part of the individual's regular duties. If teaching assignments
	APM 663 Additinal			engage in additional teaching up to the APM - 025	h. Faculty participating in the Health Sciences Compensation Plan are subject to the Plan, APM - 670, APM – 671, and	are a customary part of the individual's duties, that
6 Additional Compensation	Compensation: University Extension	Academic appointees, including University Extension (UNEX) appointees, may receive additional compensation for UNEX teaching in accordance with this policy.	Extensions UNX-University Extensions		local campus Implementing Procedures regarding income from additional University teaching. i. Prior approval from the home campus/department is required when employing faculty from other UC campuses.	teaching load is considered as part of the appointee's regular job.
		Faculty Professor series				
		Acting titles in the Professor series (Students who hold the Acting Instructor title are not considered faculty.)  Visiting titles in the Professor series Professor in Residence series Adjunct Professor series		Faculty (as defined in APM - 110-4-15) may		
		Professor of Clinical (e.g., Medicine) series Health Sciences Clinical Professor series		receive additional compensation for occasional		Faculty consultants are poid at a possible direct
		Clinical Professor of Dentistry (50 percent or more time) series Supervisor of Physical Education series  Supervisor of Teacher Education Lecturer  Sopier Lecturer		the auspices of the University, provided that the		Faculty consultants are paid at a negotiated rate approved by the Chancellor, Lawrence Berkeley
		Senior Lecturer  Lecturer with Potential for Security of Employment		faculty member is not regularly engaged on the project and the contract or grant for the project		National Laboratory Director, or equivalent official at the UC location where the consulting is done.
	APM 664 Additional	Lecturer with Security of Employment  Senior Lecturer with Security of Employment		financed by external funds does not prohibit such compensation. Such service on projects conducted		The maximum amount per day which is permitted is the daily rate plus an additional 30 percent
7 Additional Compensation	Compensation: Services as Faculty Consultant	Acting titles in the Lecturer with Security of Employment Series  Lecturer in Summer Session Coordinator of Field Work Field Work Supervisor Field Work Consultan	ACF-Additional Comp-Consulting	under the auspices of the University is not consulting as defined under APM - 025 or		which may be paid in consideration of the fact that there are no benefits provided for such services.
				An honororium is normant (non-seller seller		
				An honorarium is payment (generally not required) by the University to an academic appointee for		
				occasional lectures and similar public appearances beyond normal academic		Academic appointees should not receive
				responsibilities to the University. Such service (though possibly related to normal responsibilities)		honoraria for activity relating to departmental personnel actions or ad hoc committees, service
				falls outside the appointee's normal academic responsibilities due to the nature of the work or	Types of Honoraria	on thesis committees or service on campus or systemwide committees.
				where it is performed (e.g., delivering an occasional lecture at a campus other than the	a. Seminars, Lectures or Campus-Sponsored Program Reviews  Academic appointees may receive honoraria for seminars, lectures or UC-sponsored program reviews when these activities	a. Total annual honoraria under this policy may
				· ·	occur on any campus or location of the University, other than the campus or location at which the appointee normally	base salary. b.Compensation may not be made from State
				1 '	b. University-Sponsored Conferences, Panels and Concerts/Creative Works  Academic appointees may receive honoraria for concerts or other creative work or for University-sponsored conferences	funds, but is permitted from gifts, endowments, contracts and grants with specifically budgeted
8 Honoriums	APM 666 Additional Compensation: Honoraria	Academic appointes (Senate & Mon Senate)	HON-Honorarium	Section 666-8 below.	and panels when these activities occur on any campus or location of the University, including the campus or location at	provisions for such honoraria, Chancellor's
8 Honoriums	Compensation: Honoraria	Academic appointees (Senate & Non Senate)	HON-Honorarium		which the appointee normally serves.	discretionary funds or similar sources.
				An accelerate		Agency (e.g., NIH, NSF) maximum salary caps
				An academic appointee may receive additional compensation for services rendered in connection		must be observed and State funds may not be used to pay for any gap in compensation between
	APM 667 Additional		ACR (AY)-Additional Compensation Research	with extramurally funded research projects undertaken by the University, unless the terms of		agency maximum salary caps and the total compensation received, other than the Health
9 Additional Compensation	Compensation: Extramurally Funded Research	Academic appointees (Senate & Non Senate)	AFR (FY)-Addtional Compensation FY Research	the appointee's University appointment prohibits	Refer to the Summer Salary Guidelines	Sciences Compensation Plan Scale 0 that may result from such maximum amounts.
1				The state of the s		